

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #4

In re application of: CHEAH

Application No.: 09/417,456

Filed: October 13, 1999

Title: METHOD AND SYSTEM FOR  
CONTROLLED DISTRIBUTION OF  
INFORMATION OVER A NETWORK



Attorney Docket No.: CTC1P001

Examiner: To be assigned

Group: 2756

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on January 7, 2000

Signed: \_\_\_\_\_

Agnes F. Spence

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed herewith are the executed Declaration and Power of Attorney for Patent Application, Verified Statement Claiming Small Entity Status, and a Preliminary Amendment (canceling claims 20-40) in response to the Notice to File Missing Parts of Application--Filing Date Granted mailed November 10, 1999. Please file these documents in the subject application.

Applicant hereby request a one-month extension of time in which to respond to Notice to File Missing Parts of Application--Filing Date Granted mailed November 10, 1999. Enclosed is our Check No. 6820 for \$578.00 in payment of the filing fee (\$458.00), surcharge (\$65.00), and one-month extension fee (\$55.00) for a Small Entity. The Commissioner is authorized to charge any fees that may be due to our Deposit Account No. 50-0388 (Order No. CTC1P001). A duplicate copy of this sheet is enclosed for this purpose.

Respectfully submitted,  
BEYER & WEAVER, LLP

C. Douglass Thomas  
Reg. No. 32,947

02/02/2000 HBAW: 00000101 09417456  
01 FC:215 55.00 OP

P.O. Box 61059  
Palo Alto, CA 94306  
(650) 493-2100

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#3

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I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on January 27, 2000

Signed: \_\_\_\_\_

Agnes F. Spence

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Reg. No. 32,947

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Palo Alto, CA 94306  
(650) 493-2100



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/417,456 10/13/99 CHEAH E CTC1P001

022434  
BEYER & WEAVER LLP  
P O BOX 61059  
PALO ALTO CA 94306

0212/1110

NOT ASSIGNED

2756

**DATE MAILED:**

11/10/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
***Filing Date Granted***

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 4,718.00.

- ☒ 1. The statutory basic filing fee is:
- ☒ missing.
- ☐ insufficient.
- Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:
- \$ 360.00 for 20 total claims over 20.
- \$ 468.00 for 6 independent claims over 3.
- \$ \_\_\_\_\_ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.  
*An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.

**Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).**

- ☐
9. OTHER:

**Direct the reply and any questions about this notice to "Attention: Box Missing Parts."**

***A copy of this notice MUST be returned with the reply.***

**Customer Service Center**  
**Initial Patent Examination Division (703) 308-1202**

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**